

Arizona Tribal – State Vendor Application

Renewal Individual Application

Class D Vendor



Mission Statement

We conduct investigations of gaming vendors to determine suitability and review records to ensure compliance with the Compact and its appendices in full support of the Tribe's and the Department's missions and values.

You are advised that this application is an official document and false or incomplete answers could result in criminal prosecution and the denial, or subsequent revocation, of State certification or Tribal licensing. You are further advised that an application for State certification or Tribal licensure may not be withdrawn without the permission of the Department of Gaming or the respective Tribe.

Application Packet Checklist

“...The Tribe or Tribal Gaming Office, as applicable, and the State Gaming Agency shall conduct the necessary background investigation to ensure the Applicant is qualified for Tribal licensing and State certification...” [Arizona Tribal – State Gaming Compact: Section 5(b)(1) & (2)] In order to conduct the necessary background investigations the applicant agrees to provide access to the following information and/or documents and to provide copies, as requested. This information includes, but is not limited to, the following:

Please verify all items are checked-off and included in the application packet in order to avoid a rejected application.

✓	<u>Application</u>
<input type="checkbox"/>	ATSV-900 Renewal
<input type="checkbox"/>	ADG-902 Individual
<input type="checkbox"/>	ADG-903 Individual
<input type="checkbox"/>	ATSV-904-D Individual
<input type="checkbox"/>	ADG-907
<input type="checkbox"/>	Fingerprint Cards (2) See Attached Instructions
<input type="checkbox"/>	Current photo within six (6) months
<input type="checkbox"/>	Current credit report within six (6) months
<input type="checkbox"/>	Ensure all forms requiring notarization have been NOTARIZED
<input type="checkbox"/>	Initial the bottom of all pages that are required

Pursuant to A.R.S §41-1030:

- B. An agency shall not base a licensing decision in whole or in part on a licensing requirement or condition that is not specifically authorized by statute, rule or state tribal gaming compact. A general grant of authority in statute does not constitute a basis for imposing a licensing requirement or condition unless a rule is made pursuant to that general grant of authority that specifically authorizes the requirement or condition.
- D. This section may be enforced in a private civil action and relief may be awarded against the state. The court may award reasonable attorney fees, damages and all fees associated with the license application to a party that prevails in an action against the state for a violation of this section.
- E. A state employee may not intentionally or knowingly violate this section. A violation of this section is cause for disciplinary action or dismissal pursuant to the agency’s adopted personnel policy.
- F. This section does not abrogate the immunity provided by section 12-820.01 or 12-820.02.

Applicant’s Initials_____

ARIZONA DEPARTMENT OF GAMING

1110W. Washington, Suite 450
Phoenix, Arizona 85007
(602) 771-4263

FOR OFFICIAL USE ONLY
PCA# _____

RENEWAL APPLICATION FOR STATE CERTIFICATION

(Type or print in ink)

Company Name		Position in Company		Date	
Name (Last, First, Middle)				Social Security No./National ID No.	
Business Mailing Address				E-mail Address	
Applicant's Residence Address					
Home Phone No.	Cellular-phone No.	Business Phone No.	Business Fax No.	Driver's License No. and State	
Sex	Height	Weight	Color Eyes	Color Hair	Date of Birth (mo/day/yr)

The following information is required for all renewal applicants. If any answer is 'Yes', please attach a written explanation to this form. Please note, any convictions you believe were expunged must be disclosed on this form and have a written statement attached. A set of fingerprint cards must also be returned with this renewal form to start the review process.

Under the Federal Privacy Act, disclosure of social security numbers is voluntary unless a statute specifically requires it or allows states to collect the number. In this instance, disclosure of your social security number is mandatory pursuant to Title 42 United States Code, Sections 405(c)(2)(c), and Sections 653, 654, and 666; and A.R.S. § 25-320(K) in order to aid the Department of Economic Security in locating non-custodial parents or the assets of non-custodial parents.

- Since you last filed an application with the Department of Gaming, have you been arrested, detained, granted immunity in lieu of testimony, charged, indicted or summoned to answer for any criminal offense or violation for any reason whatsoever (except MINOR traffic violations), regardless of the disposition of the event, dismissal, expungement, or restoration of civil rights?
 YES NO
- Since you last filed an application with the Department of Gaming, have you ever been granted a gaming license or been affiliated with group which has been issued a gaming license in or outside of the State of Arizona?
 YES NO
- Since you last filed an application with the Department of Gaming, have you or your employer had a gaming license denied, suspended, revoked or forfeited by any tribal, state or national gaming authority in any jurisdiction or any country?
 YES NO

FALSE OR INCOMPLETE ANSWERS COULD RESULT IN CRIMINAL PROSECUTION AND THE DENIAL, OR SUBSEQUENT REVOCATION, OF A STATE CERTIFICATION.

State of _____ County of _____

I, _____, hereby depose, swear, and declare, under penalty of perjury, that I am the applicant and that the contents and all statements contained in the foregoing application are true, correct, and complete.

Applicant's Signature

Subscribed and sworn (or affirmed) to me in my presence this _____ day of _____, 20 ____.

My commission expires on _____

Notary Public

